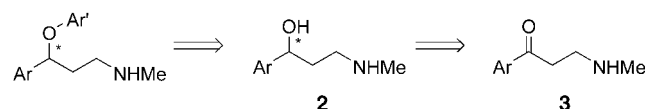


selective reduction of β -amino ketones with a secondary amino group, an unsolved class of substrates in asymmetric hydrogenation. To our knowledge, no Ru catalytic system has successfully been used for the asymmetric hydrogenation of amino ketones with a secondary amino group. A Rh-MCCPM (MCCPM = (2*S*,4*S*)-4-dicyclohexylphosphino-2-diphenylphosphinomethyl-1-(*N*-methylcarbamoyl)pyrrolidine) catalyst has been reported for the hydrogenation of one β -secondary-amino ketone substrate with only moderate efficiency (80 % *ee*, turnover number (TON) = 1000).^[2e] Given the importance of chiral γ -amino alcohols **2** as key intermediates for the synthesis of pharmaceutical products **1**^[4] (Scheme 1), an efficient enantioselective reduction of β -



- 1a:** Ar = Ph, Ar' = 4-CF₃-Ph (fluoxetine)
1b: Ar = Ph, Ar' = 2-Me-Ph (tomoxetine)
1c: Ar = Ph, Ar' = 2-OMe-4-CF₃-Ph (nisoxetine)
1d: Ar = thiophenyl, Ar' = 1-naphthyl (duloxetine)

Scheme 1. A retrosynthesis of fluoxetine and related compounds by asymmetric hydrogenation.

secondary-amino ketones **3** into **2** would be of great significance, not only for pharmaceutical development but also as a generally useful organic transformation. Herein, we report a Rh-catalyzed highly efficient hydrogenation of a series of β -secondary-amino ketones with *ee* values of up to > 99 % and with turnover numbers of more than 4500; this hydrogenation provides a potentially practical synthesis of key pharmaceutical intermediates.

γ -Secondary amino alcohols **2** are of particular interest to synthetic chemists as they are key intermediates for the synthesis of an important class of antidepressants, **1a–d**.^[4] Owing to the different biological activities exhibited by individual enantiomers of **1**, a number of enantioselective syntheses of **1**, as well as of **2**, have been developed in recent years.^[5] Although highly enantioselective hydrogenation of β -tertiary-amino ketones, catalyzed by a chiral [RuCl₂(diphosphine)(1,2-diamine)] complex, provides an effective route for the enantioselective syntheses of **1**,^[1c–e] subsequent selective removal of one *N*-methyl group is needed to afford the desired amino alcohols **2**.^[5b] A direct hydrogenation of β -secondary-amino ketones **3** would be a more attractive and economic strategy for the syntheses of **2**. However, Ru systems have not been effective for the latter reduction so far. Recently, we revealed the synthesis of a highly electron-donating P-chiral trialkylbisphospholane ligand, **4** (duanphos, see Scheme 2), in both enantiomeric forms.^[6] The high reactivities and enantioselectivities observed in the Rh-duanphos-catalyzed hydrogenation of various types of functionalized C=C bonds^[6] suggest the feasibility of using the Rh-duanphos system for the reduction of the C=O bond in amino ketones, provided a proper metal–substrate chelate forms through coordination of the nitrogen atom to the metallic center.

Hydrogenations

Practical Synthesis of Enantiopure γ -Amino Alcohols by Rhodium-Catalyzed Asymmetric Hydrogenation of β -Secondary-Amino Ketones

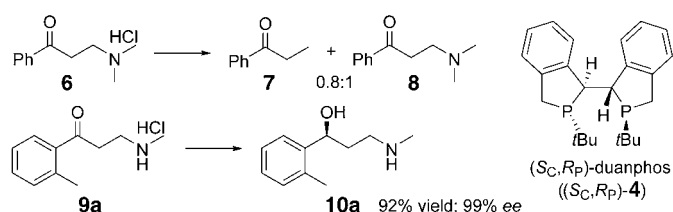
Duan Liu, Wenzhong Gao, Chunjiang Wang, and Xumu Zhang*

Enantioselective hydrogenation of amino ketones catalyzed by Ru^[1] or Rh–phosphine^[2] complexes provides an efficient method for the synthesis of enantiomerically active amino alcohols, a class of chiral compounds of great importance in pharmaceutical products. A recent challenging target^[3] inspired us to look for a practical solution for the enantio-

[*] D. Liu, W. Gao, C. Wang, Prof. X. Zhang
 Department of Chemistry
 The Pennsylvania State University
 University Park, PA 16802 (USA)
 Fax: (+1) 814-865-3292
 E-mail: xumu@chem.psu.edu



Supporting Information for this article is available on the WWW under <http://www.angewandte.org> or from the author.



Scheme 2. Asymmetric hydrogenation of β -amino ketone hydrochlorides with $[\text{Rh}\{(\text{S}_\text{C},\text{R}_\text{P})\text{-4}\}(\text{nbd})]\text{SbF}_6$ (**5a**) as the catalyst. Reaction conditions: **5a** (0.5 mol %), MeOH, K_2CO_3 (0.5 equiv), H_2 (10 bar), 50°C , 12 h. nbd = norbornadiene.

Initially, the commercially available substrate **6** was examined with $[\text{Rh}\{(\text{S}_\text{C},\text{R}_\text{P})\text{-4}\}(\text{nbd})]\text{SbF}_6$ (**5a**) as the catalyst in the presence of K_2CO_3 (0.5 equiv) in MeOH under H_2 (10 bar) at 50°C for 12 h. Unfortunately, none of the desired amino alcohol product was obtained, but a mixture of a deamination byproduct **7**^[7] and unconverted free amino ketone **8** was recovered. When isolated **8** was employed without a base under otherwise the same reaction conditions, a similar mixture of **7** and unconverted **8** was obtained. However, we were pleased to find that a β -secondary-amino ketone hydrochloride **9a**^[8] was readily hydrogenated to give γ -amino alcohol **10a** in 92% yield with 99% ee (< 5% deamination byproduct indicated by ^1H NMR spectroscopy) after isolation. These observations strongly support our hypothesis that, unlike the situation in the Ru-catalyzed hydrogenation of amino ketones,^[1c] an effective ligation of the nitrogen atom to the metallic center to form a metal-substrate chelate is probably critical for achieving high enantioselectivity and reactivity in this hydrogenation. A secondary amino group is a better ligand than a tertiary amino group to coordinate to a Rh center to form a chelate, owing to less steric interaction, and this, in turn, facilitates the reaction rate of C=O bond reduction relative to deamination. Further screening of reaction conditions for the hydrogenation of **9a** (Table 1) resulted in the following observations: 1) A higher temperature or H_2 pressure has little effect on the enantioselectivity but does accelerate the relative rate of hydrogenation, thereby leading to a higher yield of **10a** (Table 1, entries 1–4); 2) although there is no significant solvent effect on the enantioselectivity, the hydrogenation rates differ dramatically with diverse solvents (reflected by the yields of **10a**), and MeOH is found to be the solvent of choice (Table 1, entries 1 and 5–10); 3) both inorganic bases, such as K_2CO_3 and KHCO_3 , and organic bases, such as triethylamine, can promote the hydrogenation of **9a** with comparable yields and stereoselectivities (Table 1, entries 1, 11, and 12).

Under the conditions optimized for the hydrogenation of **9a**, the hydrogenation of a series of β -secondary-amino ketone hydrochlorides **9a–i** were explored with Rh-complex **5a** and its antipodal complex $[\text{Rh}\{(\text{R}_\text{C},\text{S}_\text{P})\text{-duanphos}\}(\text{nbd})]\text{SbF}_6$ (**5b**). As shown in Table 2, entries 1–7, all the hydrogenations proceeded to completion and afforded the corresponding amino alcohols **10** in high yields (90–93%) with excellent enantioselectivities (93–99% ee); these results indicate a high tolerance to the pattern and electronic properties of the substituent on the phenyl ring in terms of

Table 1: Screening of reaction conditions for the Rh-catalyzed asymmetric hydrogenation of β -amino ketone hydrochloride **9a**.^[a]

| Entry | Solvent ^[b] | Base | P (H_2) [bar] | T [$^\circ\text{C}$] | Yield [%] ^[c] | ee [%] ^[d] |
|-------|--------------------------|-------------------------|----------------------------|--------------------------|--------------------------|-----------------------|
| 1 | MeOH | K_2CO_3 | 10 | 50 | > 95 (92%) | 99 |
| 2 | MeOH | K_2CO_3 | 50 | 50 | > 95 | 99 |
| 3 | MeOH | K_2CO_3 | 2 | 50 | 75 | 98 |
| 4 | MeOH | K_2CO_3 | 10 | 23 | 95 | 97 |
| 5 | EtOH | K_2CO_3 | 10 | 50 | 65 | 98 |
| 6 | <i>i</i> PrOH | K_2CO_3 | 10 | 50 | 45 | 94 |
| 7 | MeCN | K_2CO_3 | 10 | 50 | 50 | 96 |
| 8 | CH_2Cl_2 | K_2CO_3 | 10 | 50 | 75 | 94 |
| 9 | DMF | K_2CO_3 | 10 | 50 | < 10 | – |
| 10 | THF | K_2CO_3 | 10 | 50 | < 10 | – |
| 11 | MeOH | KHCO_3 | 10 | 50 | 95 | 98 |
| 12 | MeOH | NEt_3 | 10 | 50 | > 95 | 99 |

[a] The hydrogenations were carried out under the described conditions for each entry with 0.5 mol % of **5a** as the catalyst precursor, according to the general procedure given in the Supporting Information. [b] DMF = *N,N*-dimethylformamide. [c] Estimated yields based on ^1H NMR spectroscopy of the crude products. The yield after isolation is given in parenthesis. [d] The ee values of **10a** were determined by chiral HPLC with an OD-H column after the product had been converted into the *N*-acyl derivative **11a** (see Supporting Information).

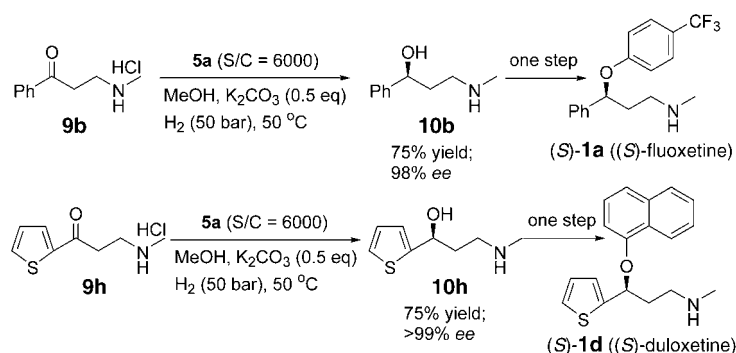
Table 2: Rh-catalyzed asymmetric hydrogenation of β -secondary-amino ketone hydrochlorides.^[a]

| Entry | 9 | Ar | R | Yield [%] ^[b] | ee [%] | Configuration ^[c] |
|-------|----------|--------------|-------------------|--------------------------|---------------------|------------------------------|
| 1 | a | 2-Me-phenyl | Me | 92 | 99 ^[d] | S |
| 2 | b | phenyl | Me | 90 | 98 ^[d] | S |
| 3 | c | 3-Br-phenyl | Me | 90 | 96 ^[d] | S |
| 4 | d | 4-Br-phenyl | Me | 93 | > 99 ^[d] | S |
| 5 | e | 2-OMe-phenyl | Me | 93 | 93 ^[d] | S |
| 6 | f | 4-OMe-phenyl | Me | 93 | > 99 ^[d] | S |
| 7 | g | 2-naphthyl | Me | 92 | 99 ^[e] | S |
| 8 | h | 2-thienyl | Me | 93 | > 99 ^[e] | S |
| 9 | h | 2-thienyl | Me | 93 | > 99 ^[e] | <i>R</i> ^[f] |
| 10 | i | phenyl | Bn ^[g] | 90 | 96 ^[d] | S |

[a] The hydrogenations were carried out with 0.5 mol % of **5a** (entries 1–8 and 10) or **5b** (entry 9) as the catalyst precursor for 12 h. Complete conversions were indicated by ^1H NMR spectroscopy in all runs. See Supporting Information for the general procedure. [b] Yield after isolation. [c] The absolute configurations of **10b** and **10h** were determined by comparing the sign of the optical rotations with reported data. For the other compounds, the absolute configurations were assumed to be S when **5a** was used. [d] The ee values were determined by chiral HPLC with an OD-H column after the product had been converted into the *N*-acyl derivative (see Supporting Information). [e] The ee values were determined directly by chiral HPLC with an OD-H column (see Supporting Information). [f] The Rh complex **5b** was used. [g] Bn = benzyl.

both reactivity and enantioselectivity. In the hydrogenation of a more interesting amino ketone, **9h**, with a heteroaromatic function, (*S*)-**10b** and (*R*)-**10b** were obtained in 93% yield with > 99% *ee* by using **5a** and **5b** as the catalyst, respectively (Table 2, entries 8 and 9). An *N*-benzyl-amino ketone, **9i**, was also hydrogenated to afford amino alcohol **10i** in 90% yield and 96% *ee* (Table 2, entry 10).

To demonstrate the potential Rh–duanphos-catalyzed asymmetric hydrogenation of β -secondary-amino ketones as a practical means for the enantioselective synthesis of γ -secondary-amino alcohols, two particularly interesting substrates, **9b** and **9h**, which are readily available from the corresponding ketones in one step, were explored with a low catalyst loading of the Rh complex **5a** (Scheme 3). When **9b**



Scheme 3. Enantioselective synthesis of pharmaceutical intermediates by a practical Rh-catalyzed asymmetric hydrogenation. S/C = substrate/catalyst ratio.

(1.42 g) was hydrogenated with of **5a** (1 mg) as the catalyst precursor (S/C = 6000) and K_2CO_3 (0.5 equiv) as the base in MeOH (10 mL) under H_2 (50 bar) at 50 °C for 12 h, γ -amino alcohol (*R*)-**10b** was isolated in 75% yield (TON > 4500) with 98% *ee*. When **9h** (1.52 g) was hydrogenated with **5a** (1 mg; S/C = 6000) under the same reaction conditions, (*S*)-**10b** was also isolated in 75% yield (TON > 4500) and > 99% *ee*. Therefore, the described catalytic system is highly efficient for the reduction of β -secondary-amino ketones in terms of both enantioselectivity and reactivity. According to literature procedures,^[5a,9] (*S*)-**10b** and (*S*)-**10h** can be subsequently converted into (*S*)-**1a** and (*S*)-**1d** in one step, respectively. Thus, these results provide one of the shortest (three steps overall) and most highly enantioselective (> 98% *ee* without further recrystallization) syntheses of fluoxetine and duloxetine.

In conclusion, a series of β -secondary-amino ketone hydrochlorides were hydrogenated with remarkably high enantioselectivity, for the first time, with a Rh complex containing a highly electron-donating P-chiral bisphospholane ligand, **4**. For two substrates of particular interest, **9b** and **9h**, high turnover numbers were also achieved. These results established one of the shortest and most potentially practical means for the synthesis of enantiopure *N*-monosubstituted γ -amino alcohols, which are important pharmaceutical intermediates.

Received: October 1, 2004
Published online: February 3, 2005

Keywords: amino alcohols · asymmetric catalysis · hydrogenation · P ligands · rhodium

- [1] a) M. Kitamura, T. Ohkuma, S. Inoue, N. Sayo, H. Kumobayashi, S. Akutagawa, T. Ohta, H. Takaya, R. Noyori, *J. Am. Chem. Soc.* **1988**, *110*, 629; b) K. Mashima, K. Kusano, H. Sato, Y. Matsu-mura, K. Nazaki, H. Kumobayashi, N. Sayo, Y. Hori, T. Ishizaki, S. Akutagawa, H. Takaya, *J. Org. Chem.* **1994**, *59*, 3064; c) T. Ohkuma, D. Ishii, H. Takeno, R. Noyori, *J. Am. Chem. Soc.* **2000**, *122*, 6510; d) T. Ohkuma, M. Koizumi, M. Yoshida, R. Noyori, *Org. Lett.* **2000**, *2*, 1749; e) T. Ohkuma, M. Koizumi, K. Muniz, G. Hilt, C. Kabuto, R. Noyori, *J. Am. Chem. Soc.* **2002**, *124*, 6508.
- [2] a) T. Hayashi, A. Katsumura, M. Konishi, M. Kumada, *Tetrahedron Lett.* **1979**, *20*, 425; b) H. Takeda, T. Tachinami, M. Aburatani, H. Takahashi, T. Morimoto, K. Achiwa, *Tetrahedron Lett.* **1989**, *30*, 363; c) H. Takahashi, S. Sakuraba, H. Takeda, K. Achiwa, *J. Am. Chem. Soc.* **1990**, *112*, 5876; d) H. Takeda, S. Hosokawa, M. Aburatani, K. Achiwa, *Synlett* **1991**, 193; e) S. Sakuraba, K. Achiwa, *Synlett* **1991**, 689; f) S. Sakuraba, N. Nakajima, K. Achiwa, *Synlett* **1992**, 829; g) S. Sakuraba, N. Nakajima, K. Achiwa, *Tetrahedron: Asymmetry* **1993**, *4*, 1457; h) S. Sakuraba, H. Takahashi, H. Takeda, K. Achiwa, *Chem. Pharm. Bull.* **1995**, *43*, 738; i) A. Roucoux, M. Devocelle, J.-F. Carpentier, F. Agbossou, A. Mortreux, *Synlett* **1995**, 358; j) M. Devocelle, F. Agbossou, A. Mortreux, *Synlett* **1997**, 1306; k) C. Pasquier, S. Naili, L. Pelinski, J. Brocard, A. Mortreux, F. Agbossou, *Tetrahedron: Asymmetry* **1998**, *9*, 193.
- [3] Innocent Inc. (www.innocentive.com) has posted the following target transformation: .



- [4] a) D. T. Wong, J. S. Horong, F. P. Bymaster, K. L. Hauser, B. B. Molloy, *Life Sci.* **1974**, *15*, 471; b) D. T. Wong, F. P. Bymaster, J. S. Horong, B. B. Molloy, *J. Pharmacol. Exp. Ther.* **1975**, *193*, 804; c) B. J. Foster, E. R. Lavagnino, *Drugs Future* **1986**, *11*, 134; d) S. I. Ankier, *Prog. Med. Chem.* **1986**, *26*, 121; e) D. W. Robertson, J. H. Krushinski, R. W. Fuller, J. D. Leander, *J. Med. Chem.* **1988**, *31*, 1412; f) D. T. Wong, D. W. Robertson, F. P. Bymaster, J. H. Krushinski, L. R. Reid, *Life Sci.* **1988**, *43*, 2049.
- [5] For enantioselective synthesis of **1a–c**, see: a) V. Ratovelomana-Vidal, C. Girard, R. Touati, J. P. Tranchier, B. B. Hassine, J. P. Genet, *Adv. Synth. Catal.* **2003**, *345*, 261, and references therein; for enantioselective synthesis of **1d**, see: reference [5a]; b) J. Deeter, J. Frazier, G. Staten, M. Staszak, L. Weiget, *Tetrahedron Lett.* **1990**, *31*, 7101; c) H. Liu, B. H. Hoff, T. Anthonsen, *Chirality* **2000**, *12*, 26; d) A. Kamal, G. B. R. Khanna, R. Ramu, T. Krishnaji, *Tetrahedron Lett.* **2003**, *44*, 4783.
- [6] a) D. Liu, X. Zhang, *Eur. J. Org. Chem.* **2005**, in press; b) patent application: X. Zhang, W. Tang (The Penn State Research Foundation), WO 2003042135, **2003**.
- [7] The same deamination by-product was previously observed in a Rh-catalyzed hydrogenation of **6**; see: reference [2j].
- [8] For syntheses of **9a–i**, see Supporting Information.
- [9] Y. Gao, K. B. Sharpless, *J. Org. Chem.* **1988**, *53*, 4081.